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STATUS OF EXISTING AND EMERGING ASIA-PACIFIC SPACE POWERS CAPABILITIES

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The Asia-Pacific Leadership Network for Nuclear Non-Proliferation and Disarmament (APLN) is a network of political, military, and diplomatic leaders from sixteen countries across the Asia-Pacific tackling security and defence challenges with a particular focus on addressing and eliminating nuclear weapon risks.

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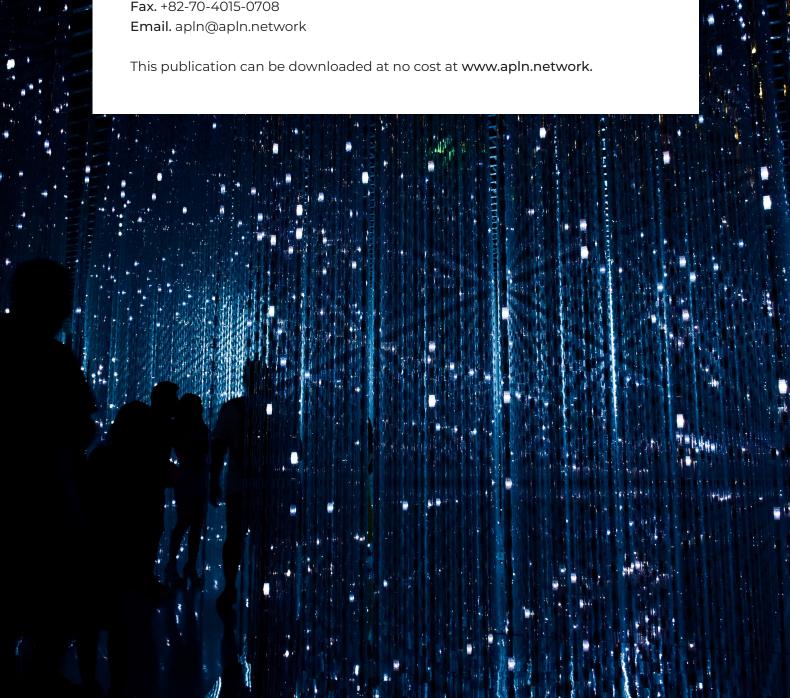
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Section 1.

INTRODUCTION

The Asia-Pacific is a key region in international relations today. Host to major powers like China, India, Japan, South Korea, Indonesia and Singapore, the combined Gross Domestic Product (GDP) purchasing power parity (PPP) of the region was estimated to be the largest in the world in 2020, pre-pandemic assessments¹. Interestingly, despite the COVID 19 pandemic, China has seen positive growth rates as the largest contributer to Asian economic vibrancy². By 2030, the region is forecasted to contribute 60 per cent of global growth³. Among the major space faring nations in the Asia-Pacific, China will become the world's largest economy by 2050, according to PricewaterhouseCoopers, followed by India at number two and the United States (U.S.) at number three⁴. Indonesia is forecasted to be the fourth largest economy; South Korea will be 14th in overall world ranking⁵. Among those forecasted to lead, China and India are Great Powers, followed by major powers like Japan, South Korea, and Indonesia.

China, India, and Japan are major space faring nations with independent capacity to launch into space, with ambitions for space settlement and space resource utilization.

¹ Wang Huiyao, "In 2020, Asian Economies will become larger than the rest of the world combined-here's how," World Economic Forum, July 25, 2019, https://www.weforum.org/agenda/2019/07/the-dawn-of-the-asian-century/ (accessed July 26, 2021).

² Jonathan Cheng, "China is the only major economy to report economic growth for 2020," The Wall Street Journal, January 18, 2021, https://www.wsj.com/articles/china-is-the-only-major-economy-to-report-economic-growth-for-2020-11610936187 (accessed July 26, 2021).

³ Praneeth Yandamuri and Zara Ingilizian, "In 2020, Asia will have the World's Largest GDP. Here's What that Means," World Economic Forum, December 20, 2019. https://www.weforum.org/agenda/2019/12/asia-economic-growth/ (accessed September 21, 2020).

⁴ PricewaterhouseCoopers, "The World in 2050," https://www.pwc.com/gx/en/issues/economy/the-world-in-2050.html (accessed September 28, 2020).

⁵ Will Martin, 'RANKED: This will be the 32 most powerful economies in the world by 2050," Business Insider, February 17, 2017. https://www.businessinsider.com/pwc-ranking-of-biggest-economies-ppp-2050-2017-2 (accessed September 21, 2020).

South Korea has had its own space agency since 1992: the Korea Aerospace Research Institute⁶. The context within which the current space activities of space faring nations are occurring has changed as well. Unlike during the Cold War, when the focus was on 'Great Power Competition' for prestige and ideological reputation, with 'flags and footprints' missions, today, the focus is on space utilization and development, of which prestige is but a minor part. One can gauge this in the articulated space ambitions of the United States⁷, China⁸, India⁹, Japan¹⁰, and South Korea¹¹.

Given the emergent space power focus in the Asia-Pacific, this report highlights the space power capabilities of the two Great Powers in space in Asia (China and India). This is followed by a section on horizontal and vertical proliferation of space warfare capabilities¹². The report discusses the institutional and legal regimes and constraints on space weaponization, explains certain worst-case militarization scenarios, and presents alternative space demilitarization pathways.

⁶ Korea Aerospace Research Institute. https://www.kari.re.kr/eng/sub01_01.do (accessed September 21, 2020).

⁷ The White House, National Space Council, "A New Era for Deep Space Exploration and Development," July 23, 2020. https://www.whitehouse.gov/wp-content/uploads/2020/07/A-New-Era-for-Space-Exploration-and-Development-07-23-2020.pdf (accessed September 21, 2020).

⁸ Statement of Dr. Namrata Goswami Independent Senior Analyst and Author 2016-2017 Minerva Grantee Before the U.S.-China Economic and Security Review Commission Hearing on "China in Space: A Strategic Competition?" April 25, 2019. https://www.uscc.gov/sites/default/files/Namrata%20Goswami%20USCC%2025%20April.pdf (accessed September 28, 2020).

⁹ Raghu Krishnan, "ISRO's Big Space Plans: Take a Look at the Missions the Space Agency has Lined up in the Coming Years," The Economic Times, September 8, 2019 (Accessed September 21, 2020).

^{10 &}quot;Moon Shot Japan's Era of New Space," CNBC, n.d. https://www.cnbc.com/advertorial/the-moon-shot-japans-era-of-new-space/#:~:text=%22Japan%20has%20one%20of%20the,space%20technologies%20in%20the%20 world.&text=Half%2Da%2Dcentury%20since%20man,exploration%20as%20we%20know%20it. (accessed September 21, 2020).

¹¹ An, Hyoung Joon, "South Korea's Space Program: Activities and Ambitions," Asia Policy, April 24, 2020. https://www.nbr.org/publication/asia-in-space-the-race-to-the-final-frontier/ (accessed September 21, 2020).

¹² Peter Garretson, "What War in Space Might Look Like Circa 2030-2040?" Nonproliferation Policy Education Center, August 28, 2020.

Section 2.

DEFINING SPACE POWER

Power is the ability that Country A wields over Country B to get Country B to do something, which Country B would not otherwise do. It is the ability to influence others to do what you want them to do¹³. It also implies an ability to prevent others from doing what they want, especially if it is not in your interest14. Sometimes, such power is wielded to prevent others from including agendas in discussions that may not be in your interest. An example of this is the ability of the permanent members of the United Nations Security Council (UNSC) to pressurize and prevent other countries from discussing issues that are detrimental to their or their allies' interest. Therefore, power, in international relations, is the capability to influence, persuade or coerce others to do what is in one's own interest. By extension, spacepower is an extension of comprehensive power: the ability of Country A to persuade or coerce Country B to behave in a manner in space that is beneficial to Country A's interests. It includes the ability to deter harmful behavior, either by denial or by punishment. Brent Ziarnick in Developing National Power in Space A Theoretical Model defines spacepower as "the ability to do something in space." Ziarnick develops his definition from Brigadier General "Billy" Mitchell's 1925 definition of airpower "as the ability to do something in the air."16 Ziarnick quotes Colonel David Lupton, who defines spacepower as "the ability of a nation to exploit the space environment in pursuit of national goals and purposes and includes the entire astronautical capabilities of a nation."¹⁷ The US Space Force's Capstone Doctrine, published in 2020, states that "national spacepower is the totality of a nation's ability to exploit the space domain in pursuit of prosperity and security."18

¹³ Robert Dahl, "The Concept of Power," Behavioral Science, 2:3 (December 1957), pp. 201-15.

¹⁴ Peter Bachrach and Morton S. Baratz, "Two Faces of Power," The American Political Science Review, 56/4 (Dec., 1962), pp. 947-952

¹⁵ Brent Ziarnick, Developing National Power in Space A Theoretical Model (Jefferson, NC: McFarland & Company Inc, 2015), p. 12.

¹⁶ Ziarnick, Ibid

¹⁷ Ziarnick, p. 12.

¹⁸ Space Capstone Publication, "SpacePower Doctrine for Space Forces" United States Space Force, June 2020. https://www.spaceforce.mil/Portals/1/Space%20Capstone%20Publication_10%20Aug%202020.pdf (accessed September 28, 2020).

The Capstone Doctrine identifies four instruments of national power: diplomatic, informational, military, and economic (DIME). "Military spacepower enables a nation to protect and defend space-based sources of economic power. Military operations exist in space to preserve and advance all equities of national spacepower." It is critical to realize that while there are generalizable definitions of spacepower, when operationalized within the context of a particular country, the concept of strategic culture has an impact on how states behave/will behave in outer space. Strategic culture can be defined as a sum of a nation's assumptions about its reality (threats, opportunities) based on which policy choices are preferred over others. These policy choices are informed by the state's political culture reflecting both continuity and change over time.²⁰ Political Culture is "a short-hand expression for a 'mindset' that has the effect of limiting attention to less than the full range of alternative behaviors, problems [emphasis added], and solutions which are logically possible."21 For instance, from a strategic culture perspective, China's aim is to win the competition for influence and power projection, especially with the help of outer space, and without bloodshed so that its value system (especially that guided by President Xi Jinping's thought), is established and legitimized.²² Given that space has such deep consequences for how society is run today, including via the civilian, commercial, and military uses of space, "the purpose of space strategy is to ensure access to and use of space."23 To maintain access requires space capacity. It is therefore important to examine the profile of the space powers' capabilities.

¹⁹ Ibid.

²⁰ Namrata Goswami, "Explaining China's Grand Strategy through the Lens of Strategic Culture," The Space Review, May 18, 2020. https://www.thespacereview.com/article/3944/1 (accessed September 28, 2020).

²¹ Stephen Peter Rosen, "Military Effectiveness: Why Society Matters," International Security, 19/4 (Spring 1995), pp. 5-31.

²² Robert Lawrence Kuhn, "Discerning the Essence of Xi Jinping Thought," China Daily, March 26, 2018. http://www.chinadaily.com.cn/a/201803/26/WS5ab8a6aaa3105cdcf651457f.html (accessed September 28, 2020).

²³ John J. Klein, Understanding Space Strategy The Art of War in Space (London and New York: Routledge, 2019), p. 21.

Section 3.

PROFILE OF EACH SPACE POWER'S CAPABILITIES

This section offers an assessment of the Great Powers in space (China and India) and their space capacities.

China-Civil-Military-Commercial Space Capabilities

China has one of the most ambitious space programs in the world. Funded annually with a budget of approximately \$8 billion, China has ambitions to establish a permanent space station by 2022, a lunar research outpost by 2036, a Solar Power Satellite (SPS) transmission capacity from geostationary orbit (GEO) by 2050, and to establish itself as the world leader in space by 2050.²⁴ China has invested in developing its military space capacity to augment its information warfare capacity for military command and control (CnC), precision navigation, and timing (PNT) for independent missile launch and tracking as well as sea-based launch for avoiding detection. In the commercial sphere, Chinese private companies like OneSpace have already launched to space in 2019 and have plans for developing reusable rockets. Within the last two years (2018 onwards), China has seen investments of up to \$2 billion in its private space sector.

Civilian Capability

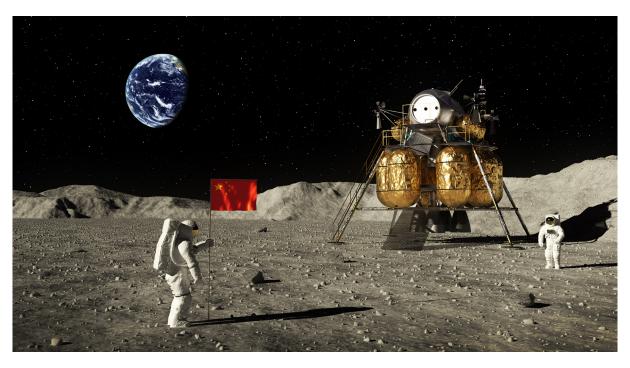
China has an independent space launch capability and has a demonstrated human presence in Low Earth Orbit (LEO) via its Tiangong space station. China has achieved humanity's first landing on the lunar far side, and it has also launched its second independently conceived Mars mission. As of April 1, 2020, China has 363 satellites, the US has 1,327, Russia 169, and India 118.25 On June 23, 2020, China launched the 55th satellite of its BeiDou Navigation System (BDS) according itself a fully independent, self-reliant Global Navigation Satellite System (GNSS) as an alternative to the US Space Force-maintained Global Positioning System (GPS). With this launch, "China is now able to extend influence in a multidomain environment (land, sea, and space) via its BeiDou space system, which

²⁴ Namrata Goswami, "The Economic and Military Impact of China's BeiDou Navigation System," The Diplomat, July 01, 2020, https://thediplomat.com/2020/07/the-economic-and-military-impact-of-chinas-beidou-navigation-system/ (accessed July 26, 2021).

²⁵ Union of Concerned Scientists, "UCS Satellite Database", November 30, 2018. https://www.ucsusa.org/nuclear-weapons/space-weapons/satellite-database (accessed on September 28, 2020).

provides navigation to aircraft, submarines, missiles, as well as commercial services dependent on such navigation."²⁶ This Chinese information infrastructure consists of undersea cables, (in which China is dominant), space-supported links, and other Earthbased links. China's National Development and Reform Commission (NDRC) determined in April 2020 that services like space information, and associated incorporated services like 5G, satellite broadband, artificial intelligence (AI), blockchain, and the Internet of Things (IoT) are part of its "New Infrastructures" list.²⁷

BeiDou offers an alternative to GPS, enabling China to further consolidate its hold on global infrastructure and rulemaking, form partnerships and alliances and to control the standards for information technology, mobile devices, 5G, self-driving cars and drones, and the broader IoT.



It offers China an influence mechanism whereby countries dependent on BeiDou would hesitate to criticize China on political issues such as Tibet, the South China Sea (SCS), or Taiwan. This increases China's ability to coerce and compel. Wang Jingang, the chief

²⁶ Namrata Goswami, "The Economic and Military Impact of China's BeiDou Navigation System", The Diplomat, July 1, 2020. https://thediplomat.com/2020/07/the-economic-and-military-impact-of-chinas-beidou-navigation-system/#:~:text=By%20Namrata%20Goswami&text=On%20June%2023%2C%202020%2C%20China,for%20its%20 BDS3%20navigation%20constellation (accessed September 23, 2020).

²⁷ Ibid

deputy designer of the BDS-3 satellites, has described his work as a "rare chance to devote my intelligence to a symbolic national project."

He points out that "people still mainly depend on navigation by GPS, supplemented by BDS," but hopes that "in a few years, people can be navigated mainly by BDS."²⁸

In January 2019, China established its first state-funded Space-Based Solar Power (SBSP) plant in Chongqing, a concept supported by Li Ming, the vice president of the China Academy of Space Technology (CAST).²⁹ The base plant is being constructed under the guidance of the Chongqing Collaborative Innovation Research Institute for Civil-Military Integration (CCIRICMI) in Southwestern China in partnership with researchers from Chongqing University, CAST's Xi'an Branch in Shaanxi province, and Xidian University. An initial investment of \$15 million has been made by the Bishan district government for the SBSP plant. Technologies being tested include SBSP satellites in GEO using automated assembly and wireless power transmission.³⁰ Xie Gengxin, Deputy Head of CCIRICMI, has stated that:

We plan to launch four to six tethered balloons from the testing base and connect them with each other to set up a network at an altitude of around 1,000 meters... these balloons will collect sunlight and convert solar energy to microwave before beaming it back to Earth. Receiving stations on the ground will convert such microwaves to electricity and distribute it to a grid... if everything goes well, a Chinese solar power station will be put into orbit about 36,000 kilometers above Earth and start generating power before 2040.³¹

China is also investing in its space launch capacity. Its latest rocket, the *Long March 5* (which is capable of launching 14 metric tons to geostationary orbit (GEO), 25 tons to low earth orbit (LEO), and 8.2 tons to trans lunar injection) successfully launched in December 2019. The success of this launch was critical given the China Mars Mission (Tianwen) was launched on the Long March 5 on July 23, 2020. Also, the Long March 5 launched China's *Chang'e 5* lunar sample return mission in November 2020 and sunsequently will launch China's eleven planned missions to construct its permanent space station (Tiangong) by 2022.

^{28 &}quot;From Compass to BeiDou: Chinese Wisdom Help Navigate Belt & Road", China Daily, November 19, 2018. https://global.chinadaily.com.cn/a/201811/19/WS5bf25cb3a310eff303289946.html aAccessed September 28, 2020).

^{29 &}quot;China Starts to Build World's First Space-Based Solar Power Plant", CGTN, February 18, 2019. http://www.ecns.cn/news/economy/2019-02-18/detail-ifzeratr8870758.shtml (accessed on April 18, 2019).

³⁰ Pan Zhaoyi, "China Starts to Build World's First Space-based Solar Power Plant", CGTN, February 18, 2019. https://news.cgtn.com/news/3d3d514f34597a4e32457a6333566d54/index.html (accessed on April 17, 2019).

31 Ibid.

In addition, China is developing the *Long March 9*, which is designed to carry a payload of 140 metric tons to LEO, a 50-ton spacecraft to a lunar transfer orbit, and a 44-ton payload to Mars transfer orbit.³² Importantly, in its justifications for the Long March 9, China listed three goals: to launch a Mars robotic exploration mission requiring 41 tonne payloads; to launch manned Mars and deep space missions; and to construct an "orbital solar power plant with 10,000 MW capacity, massing some 50,000 tonnes, requiring 620+ launches."³³

One of the core missions for China in civil space is its China Lunar Exploration Program (CLEP). Following the successful landing of the Chang'e 4 on the far side of the moon on January 3, 2019, Wu Yanhua, deputy head of the China National Space Administration (CNSA) announced that by 2019 year-end, China will launch Chang'e-5, to bring lunar samples back to Earth (accomplished December 2020). This would be followed by Chang'e-6, aimed at bringing samples from the South Pole; Chang'e-7, which will survey the South Pole for evaluating its composition; and Chang'e-8, which will test key technologies, like 3D printing, to lay the groundwork for the construction of a scientific base on the moon.³⁴ Critically, scientists at the Technology and Engineering Center for Space Utilization of the Chinese Academy of Sciences (CAS) have tested 3D printing technology in micro-gravity by successfully completing a ceramic testing technology in 2018.35 According to Wang Gong, Director of the CAS Key Laboratory of Space Manufacturing Technology, this will build a Chinese capability to construct bases on the moon and Mars, as well as in-situ resource utilization and space manufacturing with space-based resources.³⁶ Using ceramics is instructive as it is similar in composition to lunar silicate particles. China tested growing life organisms like cotton seeds, Arabidopsis, and potatoes on the lunar surface with its 3kg bioregenerative life support system aboard the Chang'e 4, with only the cotton seed sprouting. This is in pursuance of building human capacity to settle and survive on the lunar surface. China's CLEP is not only aimed at lunar scientific missions, but it is also a long-term space presence development strategy to incrementally build capacity for lunar and asteroid mining. The Moon offers the best chance of building space-faring capacities on a planetary body because it is not difficult to reach from Earth. This aspect is recognized by Wu Weiren, the chief scientist at CLEP.

³² Zhao Lei, "Super Powerful Long March 9 Said to Begin Missions around 2030," China Daily, March 11, 2019. http://www.chinadaily.com.cn/a/201903/11/WS5c859b62a3106c65c34edcc0.html

³³ As quoted from Journal of Rocket Propulsion, January 2011, in https://www.globalsecurity.org/space/world/china/cz-x. htm (accessed July 26, 2021).

^{34 &}quot;China unveils Follow-Up Lunar Exploration Missions", Xinhua, January 14, 2019 at http://www.xinhuanet.com/english/2019-01/14/c_137743015.htm (accessed on April 18, 2019).

^{35 &}quot;China Focus: China Pioneers Ceramic 3D Printing in Micro-Gravity", Xinhua, June 19, 2018 at http://www.xinhuanet.com/english/2018-06/19/c_137265536.htm (accessed on April 17, 2019). Richard P. Appelbaum, et.al., Innovation in China: Challenging the Global Science and Technology System (Polity, 2018). George S. Yip and Bruce McKern, China's Next Strategic Advantage: From Imitation to Innovation (MIT Press, 2017).

^{36 &}quot;China Focus: China pioneers Ceramic 3D Printing in Microgravity", n. 34

He states that, "our short-term goal is to orbit the Moon, and land on the Moon, and take samples back from the Moon...our long-term goal is explore, land and settle. We want our manned lunar landing to stay for longer periods and establish a research base."³⁷

China also developed its relay satellite in May 2018, with the Queqiao, or Magpie Bridge, being placed in the L2 Halo orbit to serve as a communication relay satellite from the *Chang'e 4.*³⁸ *Queqiao* can peer into the Lunar polar craters, enabling future landing zones for China's probes in the shadowed regions. Lieutenant General Zhang Yulin, former deputy commander of China's Manned Space Program and former Deputy Chief of the Armament Development Department of the Central Military Commission (CMC), now with the Strategic Support Force (SSF), specified the significance of the Moon in 2016 when he stated that, "the earth-moon space will be strategically important for the great rejuvenation of the Chinese nation." Yulin indicated in that same interview that China will be investing in building capacity to generate solar power in space. He was clear on what China's space program's focus should be in the long term, "The future of China's manned space program, is not a moon landing, which is quite simple, or even the manned Mars program which remains difficult, but continual exploration of the earth-moon space with ever developing technology."

Military Space Capacities

40 Ibid

China is developing its military space capacity. China's space program is directed by the State Administration on Science, Technology and Industry for National Defense (SASTIND), which functions under the direction of the Ministry of Industry and Information Technology (MIIT).⁴¹ Consequently, China appreciates the vital role that space plays for CnC, PNT, informationized warfare, space access and presence, space-based communications systems, and developing counter-space capabilities via-a-vis the adversary. In 2007, China tested its anti-satellite (ASAT) capability. Such ASAT technologies have been further refined in 2010, 2013, and 2014, enhancing their capabilities (without generating space debris as did its 2007 test). Open sources report that the SSF is training

^{37 &}quot;What does China want to do in Space", BBC, April 20, 2016 at https://www.bbc.com/news/av/36089689/what-does-china-want-to-do-in-space (accessed on September 17, 2020). Marina Koren, "China's Growing Ambitions in Space", The Atlantic, January 23, 2017 at https://www.theatlantic.com/science/archive/2017/01/china-space/497846/ (accessed on April 18, 2019).

³⁸ Luyuan Xu, "How China's Lunar Relay Satellite Arrived in its Final Orbit", Planetary Society, June 15, 2018 at http://www.planetary.org/blogs/guest-blogs/2018/20180615-queqiao-orbit-explainer.html (accessed on September 17, 2020).

 $^{39\,\}text{``Exploiting Earth-Moon Space: Chinese Ambitions after Space Station'', Xinhua, March 08, 2016 at http://m.chinadaily.com.cn/en//2016-03/08/content_23775949.htm (accessed on September 17, 2020).}$

^{41 &}quot;Ministry of Industry and Information Technology", The State Council, The People's Republic of China, at http://english.gov.cn/state_council/2014/08/23/content_281474983035940.htm (accessed on September 17, 2020).

with ASAT missiles aimed at US satellites. These ASAT systems include a variant of the HQ-19 surface-to-air missile (utilized in tests in 2007 and 2010), the DN-2 (2013), and the DN-3 (in the tests of 2015, 2016, and 2017).

According to the National Space and Air Intelligence Center (NASIC) report, *Competing in Space*:

China has military units that have begun training with anti-satellite missiles. Russia is probably also developing an anti-satellite missile. These missiles can destroy U.S. and allied space systems in low Earth orbit, making intelligence, surveillance, reconnaissance, and communications satellites vulnerable.⁴²

In 2015, China institutionalized a separate space service with the establishment of the People's Liberation Army Space Force (PLASSF). The PLASSF, for the first time, brings together China's growing military space assets into a single unit, aimed at dominance across the spectrum of air, space, and cyber. An independent BDS adds to China's military CnC as well. China can now independently guide missiles and bombs onto fixed targets without fear that the United States would turn off navigation services. China can now also guide its missiles very close to the target, after which a terminal seeker can provide active guidance for precise targeting. BeiDou augments independent military CnC by allowing precise knowledge of the location of one's own forces, and the ability to precisely target and provide navigation for military forces and strikes. This capability strengthens China's ability to coerce or compel others within its sphere of interest, such as on issues like the South China Sea, Taiwan, or Hong Kong. An independent BDS coupled with 5G means real-time military CnC and devastatingly accurate automated weapons systems.⁴³

China's military space capacity is geared towards building an independent capability to build into a system of comprehensive power with ASAT capability, informationized warfare, orbital presence, deep space missions, and lunar missions. It encompasses both military and civilian assets into one ideological mooring.

⁴² National Air and Space Intelligence Center, "Competing in Space", December 2018 at https://media.defense.gov/2019/Jan/16/2002080386/-1/-1/190115-F-NV711-0002.PDF, p.21 (accessed on September 20, 2019).

⁴³ Namrata Goswami, "The Economic and Military Impact of China's BeiDou Navigation System". n. 25.

Commercial Space

In the past few years, China has been developing its commercial space capacity. Under the direction of President Xi Jinping, China has been enabling the development of its private space sector.⁴⁴ This was made a priority in 2014 when the State Council of the Communist Party of China (CPC) released Document 60 to encourage China's private space sector. Since then, there have been larger investments in China's private space sector as well as a focus on civil-military integration.⁴⁵ Since 2016, China's private funding has seen an increase to about \$2 billion annually.⁴⁶ Private space companies have already achieved orbital launch and are investing in developing critical technologies like reusable space launch capacity. Amongst the known private space companies, Onespace is one of the first companies to successfully launch to sub-orbit, 47 followed by attempts made by Linkspace and Landspace. In August 2019, Linkspace experimented with the first Chinese reusable launch vehicle, when its rocket reached a height of 300 meters above ground and then landed back intact.⁴⁸ Beijing Interstellar Glory Space Technology Ltd or iSpace launched its rocket Hyperbola 1 into orbit in July 2019, marking the first such successful orbital launch by a Chinese private company.⁴⁹ In January 2021, iSpace attempted (and apparently failed) to launch into orbit China's first reusable rocket, the Hyperbola-2.50

⁴⁴ State Council Information Office of the People's Republic of China, "Guiding Opinions of the State Council on Encouraging Social Investment in Investment and Financing Mechanisms in Key Innovation Areas Document 60." November 16, 2014. http://www.gov.cn/zhengce/content/2014-11/26/content_9260.htm (accessed September 28, 2020).

⁴⁵ Zhao Lei, "Civil-Military Integration will Deepen," China Daily, March 3, 2018 http://www.chinadaily.com. cn/a/201803/03/WS5a99d67ca3106e7dcc13f437.html (accessed August 3, 2020).

⁴⁶ Michael Sheetz, "China Increases Investment in Emerging Private Space Industry," CNBC, October 10, 2018, https://www.cnbc.com/2018/10/10/china-increases-investment-in-emerging-private-space-industry.html (accessed August 3, 2020)

⁴⁷ Reuters, "China Launches First Rocket Designed by a Private Company," May 17, 2018. https://www.reuters.com/article/us-space-launch-china-onespace/china-launches-first-rocket-designed-by-a-private-company-idUSKCN1II0FK (accessed August 3, 2020).

^{48 &}quot;China Successfully Launches Largest Reusable Rocket," Global Times, August 10, 2019. https://www.globaltimes.cn/content/1160951.shtml (accessed August 3, 2020)

⁴⁹ Reuters, "Chinese Rocket Startup Puts Satellites into Orbit for First Time," Reuters, July 25, 2019. https://www.reuters.com/article/us-china-space/chinese-rocket-startup-puts-satellites-into-orbit-for-first-time-idUSKCN1UK0KY (accessed August 3, 2020).

⁵⁰ China's iSpace suffers failure with second orbital launch attempt - SpaceNews, February 04, 2021, https://www.space.com/chinese-startup-ispace-rocket-launch-failure (accessed July 26, 2021).

India's Space Capacity

India, like China, views space as part of its grand strategy and as a tool to defend and expand its power.

Like China, India has an independent space launch capacity, has launched missions to the Moon and Mars, and tested an ASAT capability. India has also established a separate space service with the establishment of the Defense Space Agency in 2019. Some of India's civilian, military, and commercial space capacities are detailed in the next section.



Civilian Space Capacities

India possesses civilian space launch capacities, which are cost effective, not due to reusability but to lower manufacturing costs. This includes the Polar Satellite Launch Vehicle (PSLV) and the Geosynchronous Satellite Launch Vehicle (GSLV). India has the capacity to launch multiple payloads, including a record breaking 104 satellites, which were launched on a single mission in 2017,⁵¹ a record since broken by SpaceX in January 2021 with the launch of 143 satellites on a single rocket.⁵²

⁵¹ Barry Ellen, "India Launches 104 Satellites From a Single Rocket, Ramping Up a Space Race," The New York Times, accessed June 13, 2019. https://www.nytimes.com/2017/02/15/world/asia/india-satellites-rocket.html

⁵² Jonathan O' Callaghan, "SpaceX Launches Rocket with 143 Rockets The Most Ever Flown on a Single Mission," Forbes, January 24, 2021, https://www.forbes.com/sites/jonathanocallaghan/2021/01/24/spacex-launches-rocket-with-143-satellites--the-most-ever-flown-on-a-single-mission/?sh=4d89933027fd (accessed July 26, 2021).

In another record for India, 29 satellites were launched to three different orbits in 2019.53

India launched its first Moon mission in 2008 with its Chandrayaan 1 lunar orbiter mission. This mission included NASA's Moon Mineralogy Mapper (M3),which helped confirm the presence of water ice on the lunar surface.⁵⁴ In 2014, India successfully sent a Mars orbiter, Mangalyaan, at a low cost of US \$74 million.⁵⁵ In September 2019, while India failed with its lunar lander, it did succeed with its Chandrayaan 2 lunar orbiter entering lunar orbit in August 2019 to map the lunar poles.⁵⁶ India's ambition to scale higher in outer space was evidenced in 2018 when Prime Minister Modi announced that India would send a man or woman into LEO by 2022, in a mission called *Gaganyaan*.⁵⁷

Subsequently, four Indian astronauts began a year-long training exercise in Russia's Gagarin Cosmonaut Training Center (GCTC), but the mission has been delayed by the Covid-19 pandemic.⁵⁸ India's civilian space capacity is utilized in other sectors as well, like the geospatial information system, Prospective Fishing Zones (PFZs), accurate weather forecasts, financial and telecommunication services, as well as tele-education services.⁵⁹

Military Space Capacities

India's military space sector took off after India had to reckon with 'intelligence failure' during the Kargil War of 1999 against Pakistan, when Indian agencies failed to pick up intelligence on infiltrators crossing the Himalayan border. Consequently, India developed its first Technology Control Satellite (TES) in 2001. In 2004, in order to collate intelligence within a single body, India established the National Technical Research Organisation (NTRO). In 2008, as a response to China's ASAT test in 2007, India established a separate

⁵³ Indian Express, "Explained: What makes PSLV-C45 special," April 2, 2019. https://indianexpress.com/article/explained/pslv-c45-rocket-launch-indian-space-research-organisation-isroc-5653610/ (accessed September 28, 2020).

⁵⁴ NASA, "Chandrayaan-1 Moon Impact Probe," n.d.. https://solarsystem.nasa.gov/missions/chandrayaan-1/in-depth/ (accessed March 9, 2020).

⁵⁵ Ipsita Agrawal, "These Scientists Sent a Rocket to Mars for less than it cost to make 'the Martian," Wired, March 17, 2017, https://www.wired.com/2017/03/these-scientists-sent-a-rocket-to-mars-for-less-than-it-cost-to-make-the-martian/ (accessed March 10, 2020); Sanjay Kumar, "India Joins Elite MARS Club," NATURE, September 24, 2014, https://www.nature.com/news/india-joins-elite-mars-club-1.15997 (accessed September 17, 2019).

⁵⁶ Jason Davis, "Chandrayaan-2 Enters Lunar Orbit," The Planetary Society, August 20, 2019, http://www.planetary.org/blogs/jason-davis/chandrayaan-2-successful-loi.html (accessed March 9, 2020).

⁵⁷ Press Trust of India, "Gaganyaan, India's first manned mission, set to be a reality by 2022," Live Mint, August 15, 2018,. https://www.livemint.com/Politics/glFe56EP0V2EkF25mQshXO/Narendra-Modi-announces-Gaganyaan-in-Independence-Day-speech.html (accessed June 13, 2019).

^{58 &}quot;Star City adventure: Take a sneak peek into India's first space crew training in Russia," Russia Today, February 17, 2020, https://www.rt.com/news/480892-india-first-space-crew-russia/ (accessed February 18, 2020).

⁵⁹ Government of India, Department of Space, Indian Space Research Organisation, "Vision and Mission Statements,". https://www.isro.gov.in/about-isro/vision-and-mission-statements (accessed March 10, 2020).

Integrated Space Cell within the Integrated Defence Services Headquarters, followed by the Space Security Coordination Group (SSCG) under the National Security Adviser. RISAT-2, which was launched in 2008, was India's first dedicated military satellite, 60 followed by the GISAT-7 in 2013. 61 India's NavIC (Navigation Indian Constellation), which is part of global navigation satellite system (GNSS) and has been identified as an 'allied system' by the 2020 US National Defense Authorization Act (NDAA) under section 1601, subtitled, Space Activities. 62 In March 2019, India launched its Mission Shakti ASAT test against its own target satellite Microsat-R.63

The ASAT test demonstrated India's capability to hit adversary objects (interdict and intercept) in space. The test was described by the Indian Prime Minister Narendra Modi as a deterrent asset against attack on India's space infrastructure.⁶⁴ In a tweet on March 27, 2019, the Prime Minister stated, "#MissionShakti is special for 2 reasons: (1) India is only the 4th country to acquire such a specialized & modern capacity. (2) Entire effort is indigenous. India stands tall as a space power! It will make India stronger, even more secure and will further peace and harmony."⁶⁵ Following the test, Prime Minister Modi directed National Security Adviser Ajit Doval to work on a space power doctrin and to set up the contours of a Defense Space Agency and a Defense Space Research Organisation.

⁶⁰ EO Portal Directory, "RISAT-2 (Radar Imaging Satellite-2)." https://earth.esa.int/web/eoportal/satellite-missions/r/risat-2 (accessed June 12, 2020).

⁶¹ Rajat Pandit, "India's first military satellite successfully launched," Times of India, August 30, 2013. https://timesofindia.indiatimes.com/india/Indias-first-military-satellite-successfully-launched/articleshow/22168268.cms; (accessed June 12, 2020)

^{62 &}quot;National Defense Authorization Act for Fiscal Year 2020," Congress.gov. December 20, 2019. https://www.congress.gov/bill/116th-congress/senate-bill/1790 (accessed March 16, 2020)

⁶³ Loren Grush, "India Shows it Can Destroy Satellites in Space, Worrying Experts about Space Debris," The Verge, March 27, 2019. https://www.theverge.com/2019/3/27/18283730/india-anti-satellite-demonstration-asat-test-microsat-r-space-debris (accessed March 11, 2020)

^{64 &}quot;India's A-SAT Not Against Any Country: PM Modi," The Times of India, March 27, 2019. https://timesofindia.indiatimes.com/india/pm-narendra-modi-address-to-nation-highlights/articleshow/68592668.cms (accessed on September 25, 2020).

⁶⁵ Narendra Modi @narendramodi, Twitter, March 27, 2019. https://twitter.com/narendramodi?ref_src=twsrc%5Etfw%7Ctwcamp%5Etweetembed%7Ctwterm%5E1110801488559759360%7Ctwgr%5Eshare_3&ref_url=https%3A%2F%2Ftimesofindia.indiatimes.com%2Findia%2Fpm-narendra-modi-address-to-nation-highlights%2Farticleshow%2F68592668.cms (accessed September 25, 2020).

Commercial Space

As in China, India's commercial space sector has taken off, with companies like Bellatrix⁶⁶ working on rocket propulsion, R-beam working on wireless transmission, Blue Sky Analytics⁶⁷ working on space-based Intelligence, Surveillance and Reconnaissance (ISR) for pollution monitoring, Dhruva Space⁶⁸ building small SATS, Satsure⁶⁹ mapping supply chain infrastructure, and TeamIndus⁷⁰ focusing on building spacecraft and lunar landers. Another company, Exseed Space, is the first private Indian space start-up to succeed in building and launching its own satellite on a SpaceX Falcon 9 international commercial launch.⁷¹ In light of this, the Indian Department of Space has established NewSpace India Limited (NSIL) – a state funded and controlled enterprise – to further commercialize and privatize India's space capacities.⁷² It remains to be seen if, like China, India's new space companies are able to build their own space launch systems and develop reusability as a key feature.

The next section highlights trends in horizontal and vertical proliferation of space warfare capacities with plausible probes to include countries like Japan, Iran, Pakistan, the United States, Russia, and Australia.

^{66.}Bellatrix Aerospace Website. http://www.bellatrixaerospace.com/ (accessed June 14, 2019).

⁶⁷ Blue Sky Analytics Website. https://blueskyhq.in/about (accessed October 13, 2019).

⁶⁸ Dhruva Space Website. https://www.dhruvaspace.com/ (accessed February 18, 2020).

⁶⁹ Satsure Website. http://www.satsure.in/ (accessed June 14, 2019).

⁷⁰ TeamIndus Website. https://medium.com/teamindus (accessed June 14, 2019).

⁷¹ Surendra Singh, & Srinivas Laxman, "India's first private satellite launched," Times of India, December 4, 2018. https://timesofindia.indiatimes.com/india/space-x-launches-indias-first-privately-built-satellite-exceedsat-1/articleshow/66937238.cms (accessed June 14, 2019).

⁷² Press Information Bureau, Government of India, Department of Space, "New Space India Ltd," July 24, 2019. https://pib.gov.in/newsite/PrintRelease.aspx?relid=192201 (accessed March 9, 2020)

News18, "Sitharaman Launches New Space India Limited, ISRO's Commercial Arm to Market, Sell Products," July 5, 2019. https://www.news18.com/news/business/sitharaman-launches-new-space-india-limited-isros-commercial-arm-to-market-sell-products-2219263.html (accessed July 7, 2019)

Section 3.

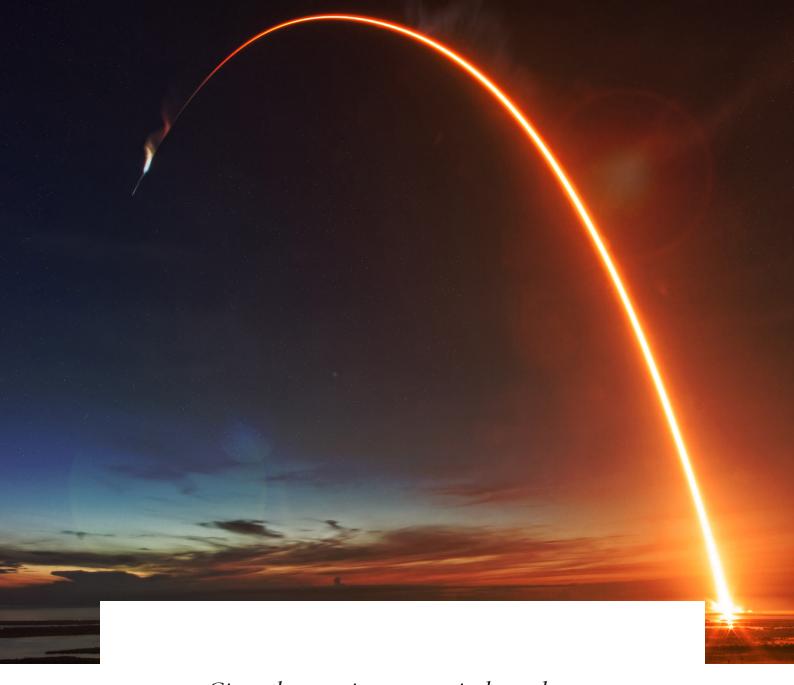
TRENDS IN HORIZONTAL AND VERTICAL PROLIFERATION OF SPACE WARFARE CAPABILITIES

Given the increase in space power projection capacities for Asian Great Powers, China and India, what can we anticipate in terms of horizontal and vertical proliferation in space warfare capacities? To clarify, the concepts of horizontal and vertical proliferation have been drawn from the literature on nuclear weapons proliferation and generalized into the literature on space weapons systems. "Horizontal" proliferation refers to nation-states or nonstate entities that do not have, but are acquiring, nuclear weapons or developing the capability and materials for producing them.⁷³ To extend this explanation to space weapons, horizontal proliferation would mean the acquisition of space capabilities by nation states and non-state entities that do not have them and that "are acquiring... or developing the capability and materials for producing them." "Vertical" proliferation refers to nation-states that possess nuclear weapons and are increasing their stockpiles of these weapons, improving the technical sophistication or reliability of their weapons, or developing new weapons."74 By extension, space weapons-related vertical proliferation would mean proliferation of space weapons and additive missiles by nation-states that already possess space warfare capacities and are "increasing their stockpiles of these weapons, improving the technical sophistication or reliability of their weapons, or developing new weapons."75

74 Ibid.

75 Ibid.

⁷³ Victor W. Sidel, MD and Levy, "Proliferation of Nuclear Weapons: Opportunities for Control and Abolition," Am J Public Health. 2007 September; 97(9): 1589–1594.doi: 10.2105/AJPH.2006.100602; https://www.ncbi.nlm.nih.gov/pmc/articles/PMC1963312/ (accessed September 27, 2020).



Given the growing economic dependence of societies on space-based assets, it is more or less likely that states will be increasingly looking to harden their space assets and to create mechanisms of both deterrence and compellence if an adversary nation or entity threatens its space assets.

Horizontal Proliferation of Space Warfare Capabilities in Asia

Is there an increase in horizontal proliferation of space warfare capacities in Asia? That is, are more nation states and non-state entities that do not have space capacities planning on acquiring these space capacities to include warfare capacities? Given the growing economic dependence of societies on space-based assets, it is more or less likely that states will be increasingly looking to harden their space assets and to create mechanisms of both deterrence and compellence if an adversary nation or entity threatens its space assets.

Japan, which has historically (1969) mandated its space assets for purely civilian purposes, is waking up to the defensive and military implications of space. In 2018, Japan's then Prime Minister, Shinzo Abe, highlighted the priority of space to modern military systems and the added requirement to develop Japan's military space capacities. Since 2008, under Japan's basic space law, the use of space for military purposes was allowed under strict guidance. Creating a robust Space Situational Awareness (SSA) in partnership with the United States, Australia, and Europe was highlighted as a critical need.⁷⁶ In April 2020, the Japanese Diet passed a bill that established the Space Domain Mission Unit (SDMU) within the Japan Air Self-Defense Force (JASDF). The SDMU is aimed to be fully functional by 2023. Yuka Koshino relates:

In 2017, the JSDF began operating its first X-band communication satellite as part of the modernisation of its command, control, communications, computers, intelligence, surveillance and reconnaissance (C4ISR) infrastructure. In 2019, its naval ships began to use precision timing signals from Japan's Quasi-Zenith Satellite System (QZSS)—satellite navigation constellation—as a back-up for the US Global Positioning System. Japan is also developing a space-based ballistic-missile early-warning system.

Japan is developing a ground-based deep space radar that can monitor satellites in Geostationary Orbit (GEO). With China testing its first Anti-Satellite (ASAT) weapon in 2007 and further improvement of such a capacity, Japan is now developing a SSA satellite with a fitted optical telescope that can carry out the dual activities of watching for space debris and conducting ASAT missions. Japan is also working on developing a satellite interceptor, primarily to counter satellite threats from China, with a final decision still pending as of the date of this writing. Japan's National Defense Guidelines of 2019 and Medium-Term Defense Program (2019-2023) highlight the importance of space for Japan's strategic thinking and deterrence capabilities. Japan's Self-Defence Forces (JSDF) are

⁷⁶ Suzuki Kazuto, "Space: A New Battleground for Space," Nippon.com, December 5, 2018. https://www.nippon.com/en/in-depth/a06101/ (Accessed September 27, 2020).

⁷⁷ Yuka Koshino, "Japan's new Space Domain Mission Unit and security in the Indo-Pacific region," IISS, May 1, 2020. https://www.iiss.org/blogs/military-balance/2020/05/japan-space-domain-mission-unit-security (Accessed September 27, 2020).

tasked with carrying forward the country's space capacities.⁷⁸ The linkage between ASATs and ballistic missiles underscores the ability of such systems for both offense and defense. Japan has its "own multi-tier defense system against ballistic missile attacks, by such means as installing ballistic missile defense capability to the Aegis-equipped destroyers and deploying the Patriot Advanced Capability-3 (PAC-3)."⁷⁹ Japan is building radars at a cost of \$1 billion by Lockeed Martin, while at the same time focusing on developing an integrated air and missile defense (IAMD), and not limited to Aegis Ashore, two sites of which it cancelled in June 2020 due to costs.⁸⁰ Japan's sense of urgency is driven by geopolitical factors, including tensions over the disputed islands in the East China Sea. Japan's 2019 White paper on defense identified China as a threat for the first time, based on China's fast military modernization.⁸¹

Japan is a maritime nation that has approximately 6,800 remote islands as part of its territory and the sixth largest territorial waters/exclusive economic zone (EEZ). In order to respond to various contingencies in the seas and airspace around Japan promptly and seamlessly, the MOD/SDF vessels and aircraft habitually engage in warning and surveillance activities. Particularly in the East China Sea, there have been an increasing number of cases in which foreign vessels conduct activities by unilaterally asserting the rights of their country based on claims that are incompatible with the existing order of international law. Thus, the necessity for constant and continuous monitoring and surveillance by destroyers and other vessels is increasing.⁸²

Consequently, interceptor missiles like the SM-3 are under development in collaboration with the United States as a new feature of Japan's Ballistic Missile Defense (BMD) system.⁸³ This is being built with the DPRK's missile capabilities in mind. Of note, Japan has an advanced space program with independent space launch capacities spearheaded by the Japan Aerospace Exploration Agency (JAXA).⁸⁴

⁷⁸ Daniel Darling, "Japanese Government Consider Launching a Satellite Interceptor," Defense & Security Monitor, August 26, 2019, https://dsm.forecastinternational.com/wordpress/2019/08/26/japanese-government-considers-launching-a-satellite-interceptor/ (accessed September 27, 2020).

⁷⁹ Ibid.

⁸⁰ Tim Kelly, "Exclusive: Japan may still build Aegis Ashore despite reports of cancellation, sources say" Reuters, July 10, 2020, https://www.reuters.com/article/us-japan-defence-aegis-exclusive/exclusive-japan-may-still-build-aegis-ashore-despite-reports-of-cancellation-source-says-idUSKBN24B0W4 (accessed July 26, 2021).

⁸¹ Ibid.

 $^{82\} Ministry\ of\ Defense,\ Japan,\ ``Effective\ Deterrence\ and\ Response,\ ''2017.\ https://www.mod.go.jp/e/publ/w_paper/pdf/2017/DOJ2017_3-1-2_web.pdf (accessed\ September\ 28,\ 2020).$

⁸³ Ibid.

^{84 &}quot;Japan Aerospace Exploration Agency", https://global.jaxa.jp/ (accessed September 27, 2020).

The Democratic People's Republic of Korea (DPRK) is another country to watch for the horizontal proliferation of space capable weapons systems. While the DPRK has not yet demonstrated anti-satellite capabilities, it has developed jamming capacities within a particular geographic area. That said, ballistic missiles can evolve into a counter space capacity, so missile developments need to be closely monitored. In 2017, DPRK tested its first intercontinental ballistic missiles (ICBM), Hwasong 14 (8, 500 -13, 000 km and the Hwasong 15 (13, 000 km). More critically, these ICBMs were developed by its space launch program, Unha (Taepo-Dong 2). Some of the other DPRK operational missiles are the Pukguksong-3 (KN-26)-1900 km-Submarine Launch Ballistic Missiles (SLBM), Pukguksong-2 (KN-15)-1, 200-2,000 km Medium Range Ballistic Missile (MRBM), No-Dong, MRBM-1, 200 km, and Taepodong-2 (SLV)-4,000 km-

10, 000 km.⁸⁵ In 2016, the DPRK claimed that it had successfully put an Earth observation satellite, Kwangmyongsong-4, into orbit with a lift off from the Sohae launch facility in western DPRK.⁸⁶ In July 2019, the DPRK also demonstrated a "newly-developed large-caliber multiple launch guided rocket system," with a range of about 250 km.⁸⁷ All these developments point to horizontal proliferation with potential for space weapons systems.

Iran has been attempting to launch its satellite into space for some time now. In February 2020, it failed to launch its Zafar 1 communications satellite into orbit. On April 22, 2020, the Aerospace Forces of the Islamic Revolutionary Guards Corps (IRGC) successfully launched its first military satellite, Noor (light) into LEO, on its rocket Qased (messenger), from the secretive Guard Base near Shahroud, 400 km from Tehran. General Hossein Salami, the Head of the IRGC stated, "today, the world's powerful armies do not have a comprehensive defense plan without being in space, and achieving this superior technology that takes us into space and expands the realm of our abilities is a strategic achievement." The IRGC claimed the satellite reached an orbit of 425 km above Earth. Again, this can be directly linked to space launch and counter-space capacities. Iran has a ballistic missile program, with the Shabab-3, having a range of 1,000-1,300 km. According to Steven Hildreth:

^{85 &}quot;Missiles of North Korea," CSIS Missile Defense Project, https://missilethreat.csis.org/country/dprk/ (accessed September 27, 2020).

⁸⁶ Mike Wall, "North Korea Launches Satellite into Space," Space.com, February 08, 2016, https://www.space.com/31860-north-korea-satellite-launch.html (accessed September 27, 2020).

⁸⁷ Vann H Van Diepen, "North Korea Unveils New "Multiple Launch Guided Rocket System," August 6, 2019, https://www.38north.org/2019/08/vvandiepen080619/ (accessed September 27, 2020).

⁸⁸ Amir Vahdat and Jon Gambrell, "Iran Guard Reveals Secret Space Program in satellite launch," Associated Press, April 22, 2020, https://apnews.com/article/0b45baa8a846f55e058e98905e290ce5 (accessed September 27, 2020).

Longer range versions of the Shahab-3, variously referred to as Shahab-3 variants, the Shahab-3A, Shahab-3B, and Shahab-4, and a BM-25, may have range capabilities of 1,500-2,500 kilometers. These missiles potentially could reach targets throughout the Middle East, Turkey, and into southeastern Europe. Some have reported that perhaps several dozen or more of these missile types may be deployed and operational. Some Chinese, DPRK, or Russian involvement is suspected. In 2006, Iran announced the successful test of a Fajr-3 MRBM comparable to the Shahab-3, although U.S. and Israeli intelligence analysts reportedly expressed skepticism.⁸⁹

The Shahab-3-MRBM-1, 300 km, Ghadr-1-MRBM-1, 950 km, Soumar-cruise missile-2,000 km-3,000 km, and Sejill-MRBM-2,000 km are some of the operational missiles. There are reports that Iran may also possess Short Range Ballistic Missiles (SRBMs)-ranges less than 1000 km developed with the help of China, DPRK, and Russia. Iran is also known to have passed on missiles to non-state entities like Hezbollah and Yemen's Houthi rebels. Waller says that:

The type of ballistic missile technology used to launch this satellite could deliver nuclear, chemical, or even biological weapons to "wipe Israel off the map," attack U.S. bases and allies in the region, and even US and NATO installations as far away as Western Europe. One particular concern about Iran having nuclear weapons and long-range ballistic missiles is the fact that it creates a deterrent umbrella under which the jihadist regime would have a free hand to continue and even escalate their sponsorship and direct support for terrorism around the world [emphasis added]. Countries with such a deterrent are less concerned about punitive or retaliatory strikes because of that capability. ⁹³

⁸⁹ Steven A. Hildreth, "Iran's Ballistic Missile Program: An Overview", Congressional Research Service, February 4, 2009. https://fas.org/sgp/crs/nuke/RS22758.pdf (accessed September 27, 2020), p.3.

^{90 &}quot;Missiles of Iran," CSIS Missile Defense Project. https://missilethreat.csis.org/country/iran/ (Accessed September 27, 2020).

⁹¹ Hildreth, n. 89 p.4

⁹² Jonathan Saul, Parisa Hafezi, Michael Georgy, "Exclusive: Iran Steps up Support for Houthis in Yemen's War: Sources," Reuters, March 21, 2017. https://www.reuters.com/article/us-yemen-iran-houthis/exclusive-iran-steps-up-support-for-houthis-in-yemens-war-sources-idUSKBN16S22R (accessed September 27, 2020).

⁹³ Tommy Waller, "Did Iran just demonstrate they can take down the United States?", SecurityGrid, no date (n.d). https://securethegrid.com/did-iran-just-demonstrate-they-can-take-down-the-united-states/ (accessed September 28, 2020). Also see Peter Vincent Fry, "Nuclear EMP Attack Scenarios and Combined-Arms Cyber-Warfare," Report to The Commission to Assess The Threat To The United States From Electromagnetic Pulse (Emp) Attack, July 2017. http://www.firstempcommission.org/uploads/1/1/9/5/119571849/nuclear_emp_attack_scenarios_and_combined-arms_cyber_warfare_by_peter_pry_july_2017.pdf (accessed September 28, 2020).

Australia is another country in the Asia-Pacific that has recently established a space program. The Australian Space Agency is focused on securing satellite communications, weather forecasting, and now has a roadmap till 2028 on securing Australian space assets. Australian strategic thinker, Malcolm Davies, urges Australia to establish its own space command. Davies argues:

Ideally, we need to reorganise Defence in a manner that recognises that space is an operational domain in its own right, just like air, sea, land and cyber. It's not merely an enabling adjunct...Does that mean Australia needs its own space force? Certainly, the ADF [Australian Defense Forces] needs a 'space command' within the RAAF in which space operations, doctrine and capability development are managed by a cadre of space professionals. Defence also needs an unclassified space strategy that informs those functions in an accountable way. These would be good steps to formally embrace in the next defence white paper. ⁹⁵

Already ADF joint doctrine recognizes the critical importance of space, especially as a 'center of gravity' in information-based military systems. Given the expansion of China and Russia's counter-space capabilities, and Australia's dependence on space for reconnaissance, command and control, navigation, and precision guidance, analysts are urging Canberra to develop its own counter space capabilities. ⁹⁶

Pakistan is another country to watch with regard to horizontal proliferation. Pakistan is a nuclear weapons state with advanced missile capacity. Pakistan's Space & Upper Atmosphere Research Committee (SUPARCO) launched its first sounding rocket, Rehbar-1, in 1961. Badr-1 and Badr-B, two experimental satellites were launched in 1990 and 2001.⁹⁷ Given its rivalry with a Great Space Power like India, Pakistan is likely to utilize its relationship with China to develop its space capacity, as it has in its nuclear weapons program.⁹⁸ Given the connections between space capabilities and a country's missile development, some of Pakistan's missiles to watch are the Shaheen -3-MRBM of 2, 750

^{94 &}quot;Australian Space Agency," https://www.industry.gov.au/strategies-for-the-future/australian-space-agency (accessed September 27, 2020).

⁹⁵ Max Blenkin, "ADF Urged to Establish Aussie Space Command," SpaceConnect, January 30, 2020. https://www.spaceconnectonline.com.au/operations/4107-calls-for-adf-to-establish-space-command-to-manage-aussie-space-operations (accessed September 27, 2020).

⁹⁶ Malcolm Davies, "The Australian Defense Force and Contested Space," The Strategist, August 15, 2019. https://www.aspistrategist.org.au/the-australian-defence-force-and-contested-space/ (accessed September 27, 2020).

^{97 &}quot;Pakistan's Space Programme," http://www.suparco.gov.pk/downloadables/03-Pakistans-Space-Programme.pdf (accessed September 27, 2020).

⁹⁸ Ali Ahsan & Ahmad Khan, "Pakistan's Journey into Space," Astropolitics, 17/1 (February 2019): 38-50. https://www.tandfonline.com/doi/abs/10.1080/14777622.2019.1578933?src=recsys&journalCode=fast20 (accessed September 27, 2020).

km range, Haft 6 (Shaheen) 2-MRBM-of 1,500-2,000 km range, and Haft 5 Ghauri-MRBM of 1,250-1,500 km range. 99

As a thought experiment, the following trajectories can be noted with regard to horizontal escalation in space:

- 1. In warfare: Country A attacks Country B's aircraft carrier; Country A funds unconventional war in Country B; Country A attacks Country B's satellites—Country B attacks Country A's launch facilities.
- 2. In an arms race: Country A acquires hypersonic missiles; Country B acquires a space-based missile defense system.
- 3. In a crisis: Country A blockades Country B's port—Country B blockades Country B's Moon base.

Vertical Proliferation of Space Warfare Capabilities in Asia

"Vertical" proliferation refers to nation-states that do possess nuclear weapons and are increasing their stockpiles of these weapons, improving the technical sophistication or reliability of their weapons, or developing new weapons. By extension to space weapons proliferation, this would mean proliferation of space weapons, and missiles, by nation states that do possess space warfare capacities and are "increasing their stockpiles of these weapons, improving the technical sophistication or reliability of their weapons, or developing new weapons." 100

China

China, as mentioned before, is acquiring new military space capabilities and improving its existing capacities. More importantly, China's military space capacities are directed by its civil-military fusion strategy. This space capacity is augmented by the establishment of the PLASSF, which is a theatre command-level enterprise. The PLA is also in control of China's space program. China's 2019 defense white paper described space as a "critical domain in international strategic competition" and stated the security of space provided strategic assurance to the country's national and social development. Described Space forms an integral part of China's comprehensive national power and military strategy. Securing China's outer space assets is a key priority. While the aim is to bring informational warfare

^{99 &}quot;Missiles of Pakistan, CSIS Missile Defense Project, https://missilethreat.csis.org/country/pakistan/ (accessed September 27, 2020).

¹⁰⁰ Victor W. Sidel, MD and Levy, "Proliferation of Nuclear Weapons: Opportunities for Control and Abolition," n.73. 101 "China National Defense in a New Era," 2019 White paper on Defense, http://www.xinhuanet.com/english/2019-07/24/c_138253389.htm (accessed September 28, 2020).

capacities together, President Xi Jinping has urged the PLASSF to prepare a doctrine for a future that sees China dominating the cislunar space¹⁰² and beyond. Prioritizing the network-centric warfare approach, China is committed to developing its ASAT capacities in LEO and GEO.¹⁰³ Key bodies are the Space Systems Department, which is in charge of PLA space operations, from launch, surveillance, and missile tracking, to space warfare doctrine and concepts. The space capacities that China is developing for countering adversaries are kinetic kill, direct ascent, co-orbital inspection satellites, electronic warfare (satellite jammers), ground based lasers, space robotic capacities including a robotic arm, and reconnaissance and surveillance—all of which will help create space access for itself and deny access to adversaries in times of conflict. As stated earlier, the PLASSF is training with ASAT capabilities, and China's missile systems create added value. The SC-19, which is modeled on the DF-21C ballistic missile, is China's primary ASAT weapon, with a range of 2150 -2, 500 km.¹⁰⁴ Besides, China possesses the DF-26 IRBM, a land-attack and anti-ship missile, the DF-21A and DF 21E (nuclear tipped), and is predicted to possess 375 MRBM/ IRBM missiles by 2025, up from 250 in 2020.¹⁰⁵ Most critically, about 75 of those missiles will be equipped with 'hypersonics,' especially the DF-17.106 China has also increased their nuclear warheads, from 290 (2019) to 320 (2020).107 From these developments, it is clear that China is increasing its stockpile and refining its military space capacities.

102 Cislunar is the volume of space contained between the Earth's surface and the Moon's orbit.

103 Office of the Secretary of Defense, "Military and Security Developments Involving the People's Republic of China," Annual Report to Congress, 2020, p.65. (accessed September 27,2020). https://media.defense.gov/2020/Sep/01/2002488689/-1/-1/1/2020-DOD-CHINA-MILITARY-POWER-REPORT-FINAL.PDF

104 "SC 19 Anti-Ballistic Missile Interceptor," Global security.org. https://www.globalsecurity.org/space/world/china/sc-19-abm.htm (accessed September 27, 2020).

105 Hans M. Kristensen, "The (Other) Red Storm Risings: INDOPACOM China Military Projection," Federation of American Scientists, September 15, 2020 (accessed September 27, 2020). https://fas.org/blogs/security/2020/09/pacom-china-military-projection/

106 Hans M. Kristensen, "The (Other) Red Storm Risings: INDOPACOM China Military Projection," Federation of American Scientists, September 15, 2020 (accessed September 27, 2020). https://fas.org/blogs/security/2020/09/pacom-china-military-projection/

107 "Nuclear Weapon Modernization Continues but the outlook for arms control is bleak," SIPRI, June 15, 2020. https://www.sipri.org/media/press-release/2020/nuclear-weapon-modernization-continues-outlook-arms-control-bleak-new-sipri-yearbook-out-now (accessed September 27, 2020).

India

As mentioned earlier, India has invested in developing its military space capacities to include an ASAT test in March 2019, establishing a Defense Space Agency ,and calling for the establishment of a Defense Space Research Organization aimed at developing counter space and counter value (attacking critical infrastructure) capacities. In June 2019 and September 2020, India conducted hypersonic tests with the launch of its hypersonic technology demonstrator vehicle (HSTDV), which reached a speed of Mach 6 (2 km per second). The HSTDV was launched on the Agni missile solid proponent rocket motor. The 2020 test utilized an indigenously built scramjet engine. The next step would be to develop long range hypersonic cruise missiles. The Brahmos supersonic cruise missile (which is being developed with Russian assistance) reaches a speed of 2.8 Mach with a range of 400 km.¹⁰⁸ India has 150 nuclear warheads (2020 estimate). It has an array of missiles, including the Prithvi II and Agni I- surface-to-air Short Range Ballistic Missiles (SRBM) with a range of 350-700 km.¹⁰⁹ The Agni III is an IRBM with a range of 3,000 km to 5000 km. The Agni V is an Intercontinental Ballistic Missile (ICBM) with a range of 5,500 km. The Dhanush submarine launch ballistic missile (SLBM) has a range of 300 km. The K-15 (Sagarika or B-05) was deployed in 2017 and has a range of 700 km.¹¹⁰ India has launched an indigenously built long-range cruise missile, Nirbhay, a nuclear-capable, solid fuel missile that can reportedly reach top speeds of 0.6-0.7 Mach and can strike land targets at a distance of up to 1,000 kilometers. According to reports, it can be launched from multiple platforms, has loitering capability, and the first test of the air-launched variant is expected to take place in 2021.111

Some of the trajectories based on insights from the section above on vertical escalation could be as follows:

- 1. In warfare: Country A kills Country B's PNT satellite; Country B kills two of Country A's PNT satellites.
- 2. In an arms race: Country A acquires 1 ASAT, Country B acquires 5 ASATS.
- 3. In a crisis: Country A puts its space forces on alert, Country B puts its space forces on alert.

¹⁰⁸ Rajat Pandit, "India Successfully Tests Scramjet technology for Hypersonic Missiles," The Times of India, September 8, 2020. https://timesofindia.indiatimes.com/india/india-successfully-test-scramjet-technology-for-hypersonic-missiles/articleshow/77973889.cms (accessed September 27, 2020).

^{109 &}quot;Prithvi," Federation of American Scientists. https://fas.org/nuke/guide/india/missile/prithvi.htm (accessed September 27, 2020)

¹¹⁰ India Missile, November 2019. https://www.nti.org/learn/countries/india/delivery-systems/ (accessed September 27, 2020).

¹¹¹ Franz-Stefan Gady, "India Test Fires Nuclear-Capable Nirbhay Cruise Missile," The Diplomat, April 15, 2019. https://thediplomat.com/2019/04/india-test-fires-nuclear-capable-nirbhay-cruise-missile/ (accessed September 27, 2020).

Section 4.

LINKED CONFLICT SYSTEMS; LINKED SECURITY DILEMMAS

Proliferation and escalation in Asia are characterized by linked conflict systems and linked security dilemmas¹¹² between pre-existing competing dyads: China-Japan, China-India, Japan-South Korea, DPRK-South Korea, and India-Pakistan.

China seeks to secure itself from a U.S. military intervention by acquiring an ASAT capability and a Strategic Support Force. India and Japan feel threatened by China's capabilities. In response, India develops an ASAT and defense space organization. Japan follows its ally, the United States, and begins developing a dedicated defense space organization and counter-space capabilities.

Similarly, because U.S. conventional forces pose an existential threat to the DPRK and Iranian regimes, these regimes have strong incentives to develop missiles, ¹¹³ ASATs, and single-strike catastrophic deterrents such as High-Altitude Electro-Magnetic Pulse (HEMP) and High-Altitude Nuclear Detonation weapons¹¹⁴.

Because conflict systems are linked, we can expect horizontal proliferation specifically where competition is pre-existing in dyads. 115

¹¹² Herbert Butterfield "The greatest war in history can be produced without the intervention of any great criminals who might be out to do deliberate harm in the world. It could be produced between two powers, both of which were desperately anxious to avoid a conflict of any sort." As quoted in Shiping Tang, "The Security Dilemma: A Conceptual Analysis," Security Studies, 18:3, 587-623. DOI: 10.1080/09636410903133050. (accessed September 28, 2020).

¹¹³ Nuno P. Monteiro, "Unrest Assured Why Unipolarity is Not Peaceful," International Security, Vol. 36, No. 3 (Winter 2011/12), pp. 9–40. https://www.mitpressjournals.org/doi/pdf/10.1162/ISEC_a_00064 (accessed September 28, 2020).

¹¹⁴ Clay Wilson, "High Altitude Electromagnetic Pulse (HEMP) and High Power Microwave (HPM) Devices: Threat Assessments," Congressional Research Service, Report for Congress, March 26, 2008. https://www.wired.com/images_blogs/dangerroom/files/Ebomb.pdf (accessed July 26, 2021).

¹¹⁵ Daniel S. Geller, "Power Differentials and War in Rival Dyads," International Studies Quarterly, 37/2 (June 1993), pp. 173-193.

Japan's counterspace capabilities will encourage development of counter-space capabilities by the DPRK. Already incentivized to create an ASAT to deter the United States, the DPRKwill accelerate to keep pace with any South Korean counter space developments. Pakistan, seeking parity and deterrence with India, and contesting leadership in the Islamic world, has incentives to develop ASAT capabilities. ¹¹⁶

One could imagine initiation and escalation to space conflict in virtually any of the dyads. The DPRK and South Korea, both with relatively fewer satellites, might jam each other's satellites in a period of hostility, and one of them might take the step of escalating with a kinetic ASAT attack. Similarly, a China-Japan skirmish over the Senkaku/Diaoyu islands could involve jamming or lasing each other's reconnaissance or communications satellites, or might result in dangerous practices of close approaches of co-orbital inspection satellites.

Were the DPRK to be suspected of orbiting a HEMP satellite, we could imagine Japan feeling forced to shoot it down or to attack it co-orbitally, as that might pose an existential threat to Japan.

Tensions between Pakistan and India would likely result in jamming, dazzling, or lasing of each other's reconnaissance and communication satellites with escalation incentivizing ever greater demonstrations of resolve, potentially including a limited kinetic exchange. The lead-up to such an event might see China siding with Pakistan and conducting close inspections of India's satellites to encourage a sense of vulnerability to a two-front war. Alternately, India's fears of a two-front war with China over its eastern and western disputed borders could cause a heightened sense of alert, sending indications and warnings to China that India was postured for a pre-emptive strike in space, encouraging China to make similar moves.

Finally, Japan and South Korea are likely to participate in the U.S. Artemis program, and thereby have national assets on or in the vicinity of the Moon. At some point India will also have a space station and likely operations on the Moon. China is likely to be able to project power over cislunar distances, and its history of imposing pressure on the geographic periphery suggests that China might hold these targets at risk directly or through plausibly-deniable grey forces to express displeasure, or to expose vulnerability, as happens with the harassment by fishing and coast-guard vessels.

¹¹⁶ Asad Hashim, "Pakistan Expresses 'Grave Concern' Over Indian Space Weapon Test," Al Jazeera, April 3, 2019. https://www.aljazeera.com/news/2019/04/03/pakistan-expresses-grave-concern-over-indian-space-weapons-test/?gb=true (accessed September 28, 2020).

¹¹⁷ Peter Pry, "How one North Korean Nuclear- Armed Satellite Could Cripple the US Military," The Hill, December 5, 2017. https://thehill.com/opinion/national-security/363326-how-one-north-korean-nuclear-armed-satellite-could-cripple-the-us (accessed July 26, 2021).

¹¹⁸ Ananya Das, "China carried out multiple attacks against Indian satellites: Report," Zee News, September 23, 2020. https://zeenews.india.com/india/china-carried-out-multiple-attacks-against-indian-satellites-report-2311905.html

Section 5.

LEGAL IMPLICATIONS AND CONCLUDING THOUGHTS

This section highlights some of the legal implications of horizontal and vertical space proliferation and offers some concluding thoughts. The foremost document that lays out the legal parameters for state behavior in outer space is the "Treaty On Principles Governing The Activities Of States In The Exploration And Use Of Outer Space, Including The Moon And Other Celestial Bodies," commonly referred to as the Outer Space Treaty (OST) signed in 1967. OST Article III stipulates that "States Parties to the Treaty shall carry on activities in the exploration and use of outer space, including the moon and other celestial bodies, in accordance with international law, including the Charter of the United Nations, in the interest of maintaining international peace and security and promoting international co-operation and understanding." 119

More specifically, Article IV of the OST states:

States Parties to the Treaty undertake not to place in orbit around the earth any objects carrying nuclear weapons or any other kinds of weapons of mass destruction, install such weapons on celestial bodies, or station such weapons in outer space in any other manner.

The moon and other celestial bodies shall be used by all States Parties to the Treaty exclusively for peaceful purposes. The establishment of military bases, installations and fortifications, the testing of any type of weapons and the conduct of military manoeuvres on celestial bodies shall be forbidden. The use of military personnel for scientific research or for any other peaceful purposes shall not be prohibited. The use of any equipment or facility necessary for peaceful exploration of the moon and other celestial bodies shall also not be prohibited.¹²⁰

120 Ibid

¹¹⁹ Resolution adopted by the General Assembly 2222 (XXI). Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies. https://www.unoosa.org/oosa/en/ourwork/spacelaw/treaties/outerspacetreaty.html (Accessed September 28, 2020).

The OST in Article VII holds states liable for damages caused:

Each State Party to the Treaty that launches or procures the launching of an object into outer space, including the moon and other celestial bodies, and each State Party from whose territory or facility an object is launched, is internationally liable for damage to another State Party to the Treaty or to its natural or juridical persons by such object or its component parts on the Earth, in air or in outer space, including the moon and other celestial bodies.¹²¹

The 1972 Convention on International Liability for Damage Caused by Space Objects builds upon OST Article VII specifying that "a launching State shall be absolutely liable to pay compensation for damage caused by its space objects on the surface of the Earth or to aircraft, and liable for damage due to its faults in space. The Convention also provides for procedures for the settlement of claims for damages." There are other international mechanisms that limit and constrain the usage of nuclear weapons in space and/or transfer of missile technology. These are "Treaty Banning Nuclear Weapon Tests in the Atmosphere, in Outer Space, and Under Water" signed between the U.S. and the erstwhile U.S.S.R in 1963. The Comprehensive Test Ban Treaty (CTBT)124 signed by the U.S. and China but not ratified by either (Russia has ratified it) and the Missile Technology Control Regime (MTCR). There is also a stipulation of noninterference with national technical means (i.e. reconnaissance and commercial satellites).

121 Ibid

¹²² United Nations Office for Outer Space Affairs (UNOOSA), "Convention on International Liability for Damage Caused by Space Objects," https://www.unoosa.org/oosa/en/ourwork/spacelaw/treaties/introliability-convention.html (accessed September 28, 2020).

¹²³ U.S. Department of State, "Treaty Banning Nuclear Weapon Tests in the Atmosphere, in Outer Space, and Under Water", 1963. https://2009-2017.state.gov/t/avc/trty/199116.htm (accessed September 28, 2020).

¹²⁴ United Nations Office for Disarmament Affairs, "Comprehensive Nuclear Test Ban Treaty," https://www.un.org/disarmament/wmd/nuclear/ctbt/ (accessed September 28, 2020).

¹²⁵ Missile Technology Control Regime, https://mtcr.info/ (accessed September 28, 2020).

¹²⁶ Sarah M. Mountin, "The Legality and Implications of Intentional Interference with Commercial Communication Satellite Signals," U.S. Naval War College, 90, 2014. https://digital-commons.usnwc.edu/cgi/viewcontent.cgi?article=1013&context=ils (accessed September 28, 2020).

That said, if we are to tackle the challenges of horizontal and vertical proliferation of military space capacities, especially keeping in mind the escalation scenarios outlined above, how would these treaties/regime help deescalate the conflict? On one hand, treaty obligations can be cited. On the other hand, states face no consequences for creating debris (for example, caused by ASAT tests by China, the United States, Russia, or India), where national security of critical infrastructure trumps international obligations with no enforcement mechanisms in place. International U.N. backed sanctions against either China or Russia for ASATS and other space militarization acts will be vetoed by China and Russia, using their permanent member privilege in the U.N. Security Council.

The space future that we need to anticipate besides orbital presence and support for terrestrial military forces is countries developing military power projection capacities beyond GEO to cislunar space and the Moon.

This is a realistic scenario given the United States, China, Russia, and Japan are aspiring to establish human presence on the lunar surface by 2040. This changes the dynamic of space. Already, the PLA SSF leadership highlights the significance of the Earth-Moon space for China's national rejuvenation. The United States has identified the Moon and extraction of resources as a vision. Japan has plans to establish a lunar settlement by 2040. Russia announced plans to establish a lunar presence by 2040 as well.¹²⁷ China and Russia signed a Memorandum of Understanding (MoU) in March 2021 to jointly establish a lunar base.¹²⁸ Given these changes in space discourse from purely tactical to strategic, we need to factor such scenarios into our assumptions of status and space behavior by space faring nations in the Asia-Pacific.

¹²⁷ Namrata Goswami, "Moon Race, National Space Legislation and Executive Orders on Ownership of Lunar Resources: Is it Enough to Regulate the Domain of Space?", Medium, June 12, 2020. https://medium.com/@namygoswami/moon-race-national-space-legislation-and-executive-orders-on-ownership-of-lunar-resources-is-it-b120e5d6fe5 (Accessed September 28, 2020).

^{128 &}quot;China, Russia Ink Accord on Building Scientific Research Station on Moon," Xinhua, March 09, 2021, http://www.xinhuanet.com/english/2021-03/09/c_139797869.htm (accessed July 26, 2021).



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